IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Yuichi TAKAMINE et al.

Serial No.: Unknown

Filed: Concurrently herewith

Title: SURFACE ACOUSTIC WAVE DEVICE

Parent Application Serial Number: 09/883,481

Parent Application Filing Date: June 18, 2001

INFORMATION DISCLOSURE STATEMENT

U.S. Patent and Trademark Office 2011 South Clark Place Customer Window, Mail Stop <u>PATENT APPLICATION</u> Crystal Plaza Two, Lobby, Room 1B03 Arlington, VA 22202

Sir:

In accordance with 37 CFR §1.56, and in recognition of their duty to disclose to the United States Patent and Trademark Office relevant information known to be material to patentability, Applicants herewith submit herewith an Information Disclosure Statement (Form PTO-1449), which lists all the prior art references that were listed in the Parent Application No. 09/883,481, currently pending. Accordingly, a copy of these references can be found in the Parent Application No. 09/883,481, currently pending. The statement is not a representation that all of the information cited is necessarily effective as prior art against the application.

Information Disclosure Statement November 26, 2003 Page 2 of 2

Applicants respectfully request that the disclosed references be made of record in the subject application.

Respectfully submitted,

Date: November 26, 2003

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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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- (Complete if Known	
Application Number		
Filing Date		
First Named Inventor	Yuichi TAKAMINE	
Art Unit		
Examiner Name		
Attorney Docket Number	36856.1179	

			U.S. PATE	NT DOCUMENTS	
Examiner Cite Document Number Public MM -1			Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
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	1	5,874,869	02/1999	Ueda et al.	
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	4	6,388,545 B1	05/2002	Kawachi et al.	
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Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ Number-Kind Code ³ (if known)	Publication Date MM -YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T _e
	8	CN 85101813 A	01/1987	CHINA		
	9	CN 1165431 A	11/1997	CHINA		
		CN 1112749 A	11/1995	CHINA		X
						X
						X
						X
						X

Examiner	Date	
Signature	 Considered	

^{*}Examiner: Initial if reference considered, whether of not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant.

¹ Applicant unique citation designation number (optional). ²See Kind Codes of USPTO Patent documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document by the two-letter code (WIPO Strandard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Abstract is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14.